

AO 440 (Rev. 12/09) Summons in a Civil Action

# UNITED STATES DISTRICT COURT

for the

Eastern District of Pennsylvania

SECURITIES AND EXCHANGE COMMISSION )

*Plaintiff* )

v. )

Civil Action No. 10-7379

ALFRED CLAY LUDLUM III, PRINTZ CAPITAL )  
MANAGEMENT, LLC, PRINTZ FINANCIAL )  
GROUP, INC. and PCM GLOBAL HOLDINGS, )  
LLC )

*Defendant*

## SUMMONS IN A CIVIL ACTION

To: *(Defendant's name and address)*

Alfred Clay Ludlum III  
105 Christina Landing Drive  
Wilmington, DE 19801

A lawsuit has been filed against you.


Within 21 days after service of this summons on you (not counting the day you received it) — or 60 days if you are the United States or a United States agency, or an officer or employee of the United States described in Fed. R. Civ. P. 12 (a)(2) or (3) — you must serve on the plaintiff an answer to the attached complaint or a motion under Rule 12 of the Federal Rules of Civil Procedure. The answer or motion must be served on the plaintiff or plaintiff's attorney, whose name and address are:

DEAN M. CONWAY, ESQ.  
MAIL STOP 4010  
100 F. STREET, N.E.  
WASHINGTON, DC 20549

If you fail to respond, judgment by default will be entered against you for the relief demanded in the complaint. You also must file your answer or motion with the court.

Date: DECEMBER 20, 2010

CLERK OF COURT

  
KIMBERLY SCOTT-HAYDEN, Deputy Clerk

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**PROOF OF SERVICE**

*(This section should not be filed with the court unless required by Fed. R. Civ. P. 4 (l))*

\*  
This summons for (name of individual and title, if any) Alfred Clay Ludlam, III

was received by me on (date) 12/29/10

105 Christina Landing Dr  
Wilmington, DE 19801

☒ I personally served the summons on the individual at (place) \_\_\_\_\_

\_\_\_\_\_ on (date) 12/30/10 @ 12:40PM; or

☐ I left the summons at the individual's residence or usual place of abode with (name) \_\_\_\_\_

\_\_\_\_\_, a person of suitable age and discretion who resides there,

on (date) \_\_\_\_\_, and mailed a copy to the individual's last known address; or

☐ I served the summons on (name of individual) \_\_\_\_\_, who is  
designated by law to accept service of process on behalf of (name of organization) \_\_\_\_\_

\_\_\_\_\_ on (date) \_\_\_\_\_; or

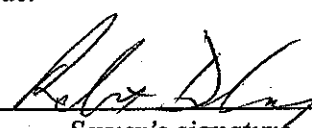
☐ I returned the summons unexecuted because \_\_\_\_\_; or

☐ Other (specify): \_\_\_\_\_

My fees are \$ \_\_\_\_\_ for travel and \$ \_\_\_\_\_ for services, for a total of \$ \_\_\_\_\_.

I declare under penalty of perjury that this information is true.

Date: 12-30-10

  
\_\_\_\_\_  
Server's signature

ROBERT DELACY, III, SPS  
\_\_\_\_\_  
Printed name and title  
**Capitol Process Services, Inc.**  
**1827 16th Street, NW**  
**Washington, DC 20009**  
**(202) 667-0050**

\_\_\_\_\_  
Server's address

Additional information regarding attempted service, etc:  
\* with Complaint